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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
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|---|--|---------------------|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br><i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> |  | Attorney Docket No. | 500.39912CX1  |
|   |  | First Inventor      |   |
|   |  | IWASAKI, TOMIO      |   |
|   |  | Title               | <b>SEMICONDUCTOR DEVICE WITH MULTILAYER CONDUCTIVE STRUCTURE FORMED ON A SEMICONDUCTOR SUBSTRATE<br/>(As Amended)</b> |
| Express Mail Label No.  |  |                     |   |

|   |  |  |  |
|---|--|--|--|
| <b>APPLICATION ELEMENTS</b>   |  | <b>ADDRESS TO:</b>   |  |
| <i>SEE MPEP chapter 600 concerning utility patent application contents.</i> |  | Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231 |  |

|  |   |
|--|---|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original and a duplicate for fee processing)</i>  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.  | 8. Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i>        |
| 3. <input checked="" type="checkbox"/> Specification<br><i>(preferred arrangement set forth below)</i>   | a. <input type="checkbox"/> Computer Readable Form (CRF)  |
| [Total Pages: 50]  |   |
| -Descriptive title of the invention<br>-Cross Reference to Related Applications<br>-Statement Regarding Fed sponsored R & D<br>-Reference to sequence listing, a table, or a computer program listing appendix<br>-Background of the Invention<br>-Brief Summary of the Invention<br>-Brief Description of the Drawings (if filed)<br>-Detailed Description<br>-Claim(s)<br>-Abstract of the Disclosure                        |   |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)<br>[Total Pages: 9]  | 7. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or   |
| 5. Oath or Declaration<br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br><i>(for continuation/divisional with Box 18 completed)</i>  | i. <input type="checkbox"/> paper   |
| i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s)<br>Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)  |   |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76  | c. <input type="checkbox"/> Statements verifying identity of above copies                           |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  |   |
| <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No.: 09/787,528   |   |
| Prior application information: Examiner: S. SMOOT    Group Art Unit: 2813  |   |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. |   |

|   |                                      |  |  |  |
|---|--------------------------------------|--|--|--|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label |                                      | 020457<br><i>(Insert Customer No. or Attach bar code label here)</i> | or <input type="checkbox"/> Correspondence address below |  |
| Name  | ANTONELLI, TERRY, STOUT & KRAUS, LLP |  |  |  |
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| City  |                                      | State  |  | Zip Code                                 |
| Country   |                                      | Telephone  | (703) 312-6600   | Fax (703) 312-6666                       |
| Name  | Gregory E. Montone                   |  |  | Registration No. (Attorney/Agent) 28,141 |
| Signature   | <i>[Signature]</i>                   |  |  | Date July 3, 2003                        |

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17602 U.S. PTO  
10/611862  
03/03/03

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,422.00)

## Complete if Known

|                      |                                 |
|----------------------|---------------------------------|
| Application Number   | R53(b) CONT. of S.N. 09/787,528 |
| Filing Date          | July 3, 2003                    |
| First Named Inventor | IWASAKI, TOMIO                  |
| Examiner Name        | S. SMOOT                        |
| Art Unit             | 2813                            |
| Attorney Docket No.  | 500.39912CX1                    |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money Order  Other  None Deposit Account:

Deposit Account Number 01-2135

Deposit Account Name Antonelli, Terry, Stout &amp; Kraus, LLP

The Commissioner authorized to: (check all that apply)

- Charge fees indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fees indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

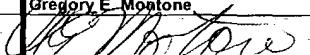
| Fee Code                  | Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description   | Fee Paid |
|---------------------------|-----------------------|-----------------------|---|----------|
| 1051                      | 130                   | 2051                  | 65 Surcharge – late filing fee or oath  |          |
| 1052                      | 50                    | 2052                  | 25 Surcharge – late provisional filing fee or cover sheet                     |          |
| 1053                      | 130                   | 1053                  | 130 Non-English specification   |          |
| 1812                      | 2,520                 | 1812                  | 2,520 For filing a request for ex parte reexamination                         |          |
| 1804                      | 920*                  | 1804                  | 920* Requesting publication of SIR prior to Examination action                |          |
| 1805                      | 1,840*                | 1805                  | 1,840* Requesting publication of SIR after Examiner action                    |          |
| 1251                      | 110                   | 2251                  | 55 Extension for reply within first month                                     |          |
| 1252                      | 410                   | 2252                  | 205 Extension for reply within second month                                   |          |
| 1253                      | 930                   | 2253                  | 465 Extension for reply within third month                                    |          |
| 1254                      | 1,450                 | 2254                  | 725 Extension for reply within fourth month                                   |          |
| 1255                      | 1,970                 | 2255                  | 985 Extension for reply within fifth month                                    |          |
| 1401                      | 320                   | 2401                  | 160 Notice of Appeal  |          |
| 1402                      | 320                   | 2402                  | 160 Filing a brief in support of an appeal                                    |          |
| 1403                      | 280                   | 2403                  | 140 Request for oral hearing  |          |
| 1451                      | 1,510                 | 1451                  | 1,510 Petition to institute a public use proceeding                           |          |
| 1452                      | 110                   | 2452                  | 55 Petition to revive – unavoidable   |          |
| 1453                      | 1,300                 | 2453                  | 650 Petition to revive – unintentional  |          |
| 1501                      | 1,300                 | 2501                  | 650 Utility issue fee (or reissue)  |          |
| 1502                      | 470                   | 2502                  | 235 Design issue fee  |          |
| 1503                      | 630                   | 2503                  | 315 Plant issue fee   |          |
| 1406                      | 130                   | 1460                  | 130 Petitions to the Commissioner   |          |
| 1807                      | 50                    | 1807                  | 50 Processing fee under 37 CFR 1.17(q)  |          |
| 1806                      | 180                   | 1806                  | 180 Submission of Information Disclosure Stmt                                 |          |
| 8021                      | 40                    | 8021                  | 40 Recording each patent assignment per property (times number of properties) |          |
| 1809                      | 750                   | 2809                  | 375 Filing a submission after final rejection (37 CFR § 1.129(a))             |          |
| 1810                      | 750                   | 2810                  | 375 For each additional invention to be examined (37 CFR § 1.129(b))          |          |
| 1801                      | 750                   | 2801                  | 375 Request for Continued Examination (RCE)                                   |          |
| 1802                      | 900                   | 1802                  | 900 Request for expedited examination of a design application                 |          |
| Other fee (specify) _____ |                       |                       |   |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

## Complete (if applicable)

|                   |   |                                   |        |           |              |
|-------------------|---|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Gregory E. Montone  | Registration No. (Attorney/Agent) | 28,141 | Telephone | 703-312-6600 |
| Signature         |  |                                   |        | Date      | July 3, 2003 |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.